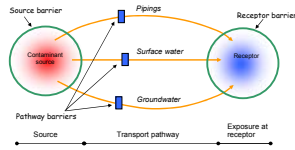


RISK-BASED PRIORITIZATION OF CONTAMINATED SITES



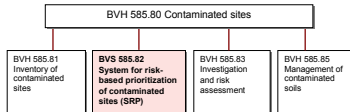
Lars Rosén, Peter Englöf, SWECO VIAK

Niklas Löwegren, Swedish National Rail Administration (SNRA)



Background

- The SNRA is managing more than 20 000 properties - a large number are expected to be contaminated
- SNRA has initiated the development of a set of guidelines



- National prioritization of available resources
- Acknowledgements: Lars Grahn and Hans Kronberg (SWECO VIAK); Tommy Hammar (County of Kalmar); Per Olsson (County of Västra Götaland); Mark Elert (Kemakta), Pär-Erik Back (Geo Innova AB).



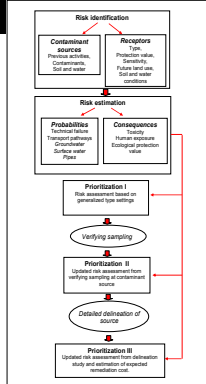
SPR Framework

A three-step prioritization procedure:

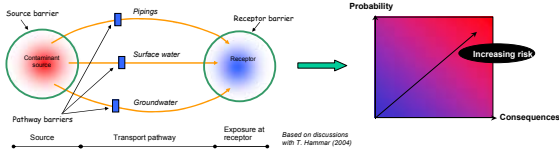
- After initial inventory of potentially contaminated sites
- After investigations aimed at verification of contaminant sources
- After investigations aimed at spatial delineation of contaminations.

In the third prioritization step, the expected remediation costs are considered

Guidelines and software tool (SPR computer model)



Conceptual Model and Risk definition



- Receptor = source area and external receptors
- Risk = Probability of failure x Consequences of failure
- Failure = when negative effects appear due to activity at the contaminant source.
- Consequences of failure = the degree of negative effects



Risk estimations

- Bayesian approach for integration of hard and soft information
- Quantitative estimations of probabilities:
 1. the probability of contaminant release at the source
 2. the probability of non-functioning source barriers
 3. the probability of contaminants remaining in the source area
 4. the probability of transport pathways between the source and the receptor (including combinations of pathways and pathway barriers)
 5. the probability of non-functioning protection barriers at the receptor
- Guidance manual for elicitation of soft information
- Generalized type settings in step 1, updating with hard data in steps 2 and 3

Step 1a: Sammenfatning for udvælgelse af grunddataindsats, 2012

Metode	Udvælgelse	Udvælgelse	Udvælgelse
1. Udvælgelse af dataindsats	2. Udvælgelse af dataindsats	3. Udvælgelse af dataindsats	4. Udvælgelse af dataindsats
5. Udvælgelse af dataindsats	6. Udvælgelse af dataindsats	7. Udvælgelse af dataindsats	8. Udvælgelse af dataindsats

Udvælgelse:

1. Udvælgelse af dataindsats
2. Udvælgelse af dataindsats
3. Udvælgelse af dataindsats

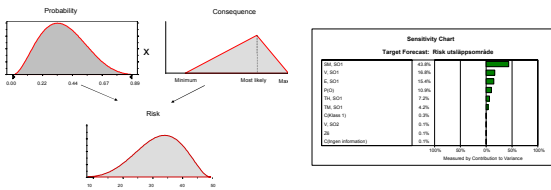


Risk estimations

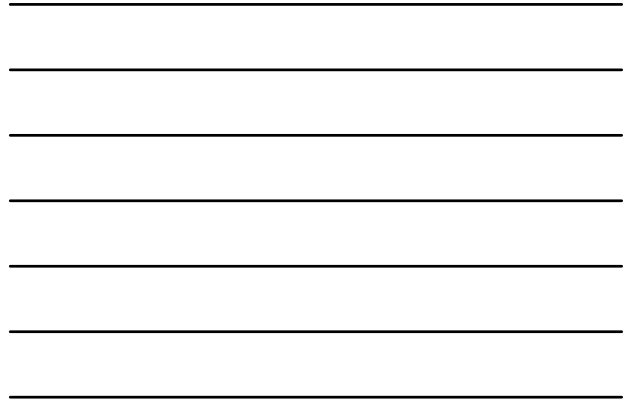
- Factors in consequence analysis:
 1. Toxicity for humans
 2. Possible pathways for human exposure
 3. Residence time of humans at the receptor
 4. Toxicity for ecosystems
 5. Protection value of ecosystems
- Data-base on:
 1. Mobility
 2. Toxicity for humans
 3. Toxicity for ecosystems (in soil and water)



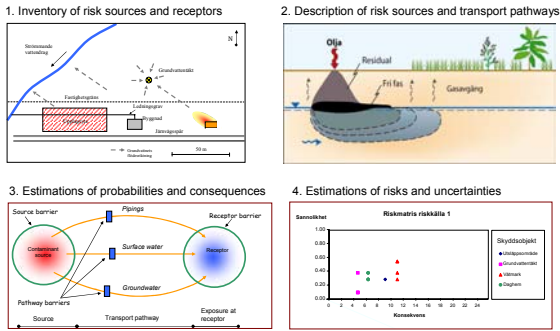
Uncertainty analysis



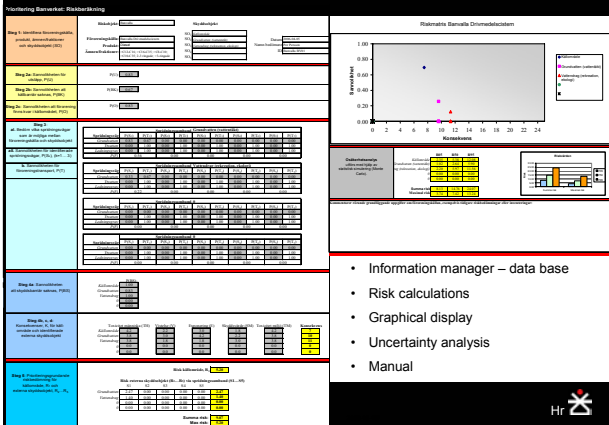
- Uncertainties of the probability estimations represented by a beta-distribution
- Uncertainties of the consequences are represented by triangular or binomial distributions.
- The resulting uncertainty of the risk is calculated by statistical simulation (Monte Carlo).
- Sensitivity analysis used to identify the most uncertain variables in the risk calculation.



Risk estimations – overview of procedure



SPR Computer model



Prioritization Step 1

Two approaches:

1. The maximum risk value
2. The total risk value

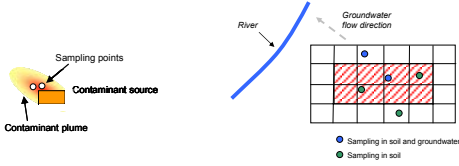


- The first approach acknowledges the worst case situation, ignoring the number of receptors
- The second approach acknowledges the total risk situation, giving higher priority to contaminant sources with the potential to have impact on several receptors



Step 2: Verifying sampling

- Directed at the contaminants source
- Hot-spots and diffuse sources

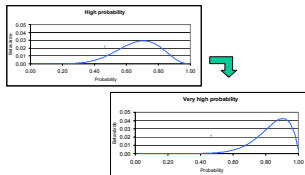


- Hot-spot sampling and random stratified sampling



Step 2: Updating of risk estimations

1. Did the investigation indicate the presence of a contaminant source?
2. What is the reliability of your results?
3. Bayesian updating of probability estimations for contaminant source factors
4. Risk estimation

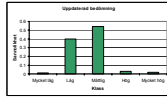
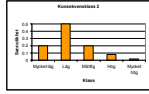
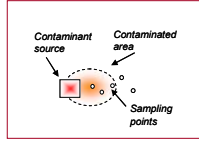


	What is the reliability of your observation? Assign in %		Prior probabilities	Updated probabilities
	Yes (y) / No (n)	in %		
Did the investigation indicate a contaminant release?	y	95%	$P(U) = 0.30$	$P(U) = 0.91$
Did the investigation indicate a non-functional source barrier?	n	70%	$P(BK) = 0.90$	$P(BK) = 0.60$
Did the investigation indicate contamination to be present in the source area?	y	50%	$P(O) = 0.50$	$P(O) = 0.67$



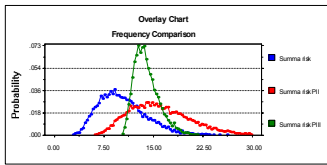
Step 3: Delineation of contamination

- Guidance manual for delineation sampling
- Updating of estimations on transport pathways and consequences at the receptor
- Procedure for probability updating analogous to Step 2
- Updating of consequences based on improved exposure analysis and protection value analysis
- Updating of risk estimation



Updating of risk estimations

- Updating of risk estimations is made automatically in SPR computer model as new information becomes available
- Uncertainty estimations updated with respect to the reliability of the new information
- Uncertainties of risks updated by Monte Carlo simulations
- Step-wise guidance manual and example descriptions



Estimation of expected remediation costs

- Assuming excavation and disposal
- Cost calculations included in the SPR computer model
- Considers explicitly:
 - Soil volume
 - Contaminant concentrations
 - Transport distance
 - Costs for disposal

Kostnadsberegning	
Verden som skal fjernes i jord (møntering)	
Nyten	Verdi Eksist 5 000 m ³
Demolert	1,5 km ²
Transportavstand til deponi	
Fjerneringsklasse 1	30 km
Fjerneringsklasse 2	50 km
Fjerneringsklasse 3	300 km
Deponiforbehandling	
Fjerneringsklasse 1	50 kr/ton
Fjerneringsklasse 2	300 kr/ton
Fjerneringsklasse 3	800 kr/ton
Fordeling av volumer på fjerneringsklasser	
Nyten per fjerneringsklasse (m ³)	Klasse 1 Klasse 2 Klasse 3
170 per fjerneringsklasse	3 830 800 1 440
Resultat	
Arbeid på samringsplassen	Mengde Eksist Årpris Kostnad Totalt Fordeling
	5 000 m ³ 310 kr 1 570 000 kr 1 570 000 kr 32%
Transport til deponi	
Fjerneringsklasse 1	5 400 ton 45 kr 243 000 kr
Fjerneringsklasse 2	2 100 ton 30 kr 63 000 kr
Fjerneringsklasse 3	1 440 ton 230 kr 331 200 kr
Deponiforbehandling	
Fjerneringsklasse 1	5 400 ton 50 kr 270 000 kr
Fjerneringsklasse 2	2 100 ton 300 kr 630 000 kr
Fjerneringsklasse 3	1 440 ton 800 kr 1 152 000 kr
Summa	5 000 m³ 870 kr 4 350 000 kr



Prioritization index Step 3

- Third prioritization step considers risk and cost:

$$\Phi_{tot} = \frac{R_{tot}}{E_{tot}} \quad \Phi_{max} = \frac{R_{max}}{E_{tot}}$$

- Cost-effective prioritization
- The highest possible risk reduction for a specific investment
- Prioritization of resources on a national level



Final comments on the SPR method

- A structured and step-wise approach to risk assessment
- Formal handling and explicit display of uncertainties
- Transparent
- Guidance for use of subjective information
- Mandatory for all SNRA regions
- First step currently performed in 3 out of 5 regions
- Course events on method and computer model to ensure equivalent assessments between regions
- Seminars to discuss and exchange experiences from applications
- Similar method developed for county authorities in Västra Götaland